



# CERTIFICATE OF MAILING

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August 26, 2002

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of Applicant, Assignee or  
Registered Representative

Signature

8/26/02

Date of Signature

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SEP 03 2002

Technology Center 2100

Our Case No.: 9623/378

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark Paine et al.

Serial No.: 10/020,712

Filing Date: December 11, 2001

For: RECOMMENDING SEARCH TERMS  
USING COLLABORATIVE  
FILTERING AND WEB SPIDERING

Examiner: To Be Assigned

Group Art Unit: 2171

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record.

The references now cited are the following:

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DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
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* Cliff Kurtzman, "Another Internet Advertising List", Internet Advertising Digest #006, dated June 19, 1996, from <a href="http://www.o-a.com/archive/1996/june.0021.html">http://www.o-a.com/archive/1996/june.0021.html</a> , printed 09/28/01, 5 pages.
* Press Release article titled "GoTo.com Announces First round of Financing, Totaling More Than \$6 Million, Led by Draper, Fisher Jurvetson", <i>Business Wire</i> , dated May 19, 1998, printed from the Internet at < <a href="http://www.dialogclassic.com/main.vjngw">http://www.dialogclassic.com/main.vjngw</a> > on 11/9/00, 2 pages.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

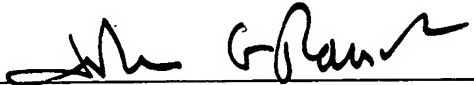
This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione's deposit account number 23-1925.

This application is a continuation-in-part application of U.S. Serial No. 09/911,674, filed July 24, 2001 which a continuation of 09/322,677, filed May 28, 1999, now issued US Patent No. 6,269,361 and is relied upon for an earlier filing date under

35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 09/322,677 are enclosed for the convenience of the Examiner. The items not included that were not cited in the parent application Serial No. 09/322,677 are marked by an asterisk.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

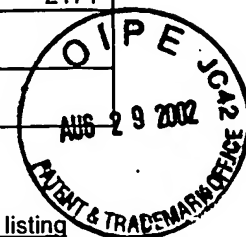
  
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TRANSMITTAL LETTER			Case No: 9623/378
Serial No.: 10/020,712	Filing Date: December 11, 2001	Examiner To Be Assigned	Group Art Unit 2171
Inventor(s) Mark Paine et al.			
Title of Invention RECOMMENDING SEARCH TERMS USING CALLABORATIVE FILTERING AND WEB SPIDERING			



TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is: Transmittal Letter (in duplicate); Information Disclosure Statement; PTO 1440 Form listing cited references A1 - A129; copies of three references marked with an asterisk (\*); and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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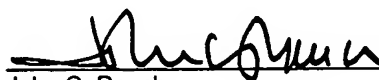
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					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 39=		x \$78=	
First Presentation of Multiple Dep. Claim					+\$130 =		+\$260=	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



John G. Rauch  
Registration No. 37, 218  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395, CHICAGO, ILLINOIS 60610 - (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 26, 2002.

Date: 8/26/02 Signature: 